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Washington, D.C. 20231 On January

David R. Saliwanchik, Patent Attorney

INFORMATION DISCLOSURE

16.3

STATEMENT

Patent Application

Docket No. UF-221C1XC1

Serial No. 09/662,254

FEB 02 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit

1645

MECH CENTER 1600/2900

Applicant(s)

Richard W. Moyer, Yi Li, Alison L. Bawden

Serial No.

09/662,254

Filed

September 14, 2000

For

Materials And Methods For Delivery And Expression Of Heterologous DNA

In Vertebrate Cells

Assistant Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§1.97 AND 1.98

Sir:

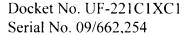
In accordance with 37 CFR §1.97 and §1.98(d), the applicants would like to bring to the attention of the Examiner, the references cited in the following patent application:

U.S. Serial No. 09/086,651; filed May 29, 1998 (now U.S. Patent No. 6,127,172).

The subject application, Serial No. 09/662,254 claims the benefit under 35 USC §120 of the filing date of the above application U.S. Serial No. 09/086,651. The applicants respectfully request that the copies of references cited during prosecution thereof be made of record in the 09/662,254 application. Copies of the cited documents are enclosed.

It is respectfully requested that the references cited in the above-noted parent application be considered in the examination of the subject application and their consideration be made of record.





The applicants respectfully assert that the substantive provisions of 37 CFR §§1.97 and 1.98 are met by the foregoing statement.

Respectfully submitted,

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FEB 02 2001

TECH CENTER 1600/2900

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Enclosure: Form PTO-1449; copies of cited references